

# Solutions for Assignment # 2

October 15, 2024

1. Show that the function  $f$  given in the proof of Theorem 11 is an isomorphism.

SOLUTION:

$$f = \{(x, y) \mid x \in U \wedge y \in V \wedge (U_x, (<_U)_x) \cong (V_y, (<_V)_y)\}$$

It suffices to show that  $x_1 <_U x_2 \Leftrightarrow f(x_1) <_V f(x_2)$ , since this implies that  $f$  is an injective function as well as order-preserving. We only need to consider the case  $x_1 <_U x_2$  and  $x_1 = x_2$ .

Suppose  $x_1 = x_2$ . Then  $U_{x_1} \cong V_{f(x_1)} \cong V_{f(x_2)}$ , since there are no two distinct initial segments of  $(V, <_V)$  are isomorphic, it must be that  $f(x_1) = f(x_2)$ . This implies that  $f$  is a (well-defined) function between its domain and range.

Suppose  $x_1 <_U x_2$ . Since  $U_{x_1}$  is an initial segment of  $U_{x_2}$ ,  $V_{f(x_1)}$  is isomorphic to an initial segment of  $V_{f(x_2)}$ , say  $V_y, y < f(x_2)$ . Since no well-ordering is isomorphic to its proper initial segments, it must be that  $f(x_1) = y < f(x_2)$ .

2. The relation “ $(P, <) \cong (Q, <)$ ” is an equivalence relation (on the class of all partially ordered sets).

SOLUTION:

- (a) (reflexive) For any partially ordered sets  $P$ , id is the natural automorphism.
- (b) (symmetric) Suppose  $f : P \rightarrow Q$  is an isomorphism, then so does  $f^{-1} : Q \rightarrow P$ , since

$$y_1 < y_2 \Rightarrow f^{-1}(y_1) < f^{-1}(y_2)$$

- (c) (transitive) For any partially ordered sets  $P, Q, R$ , Suppose  $f : P \rightarrow Q$  and  $g : Q \rightarrow R$  are isomorphisms, then  $g \circ f$  is an isomorphism between  $P$  and  $R$ .

3. Let  $\mathcal{A}$  denote the class of all well orderings. For any  $a, b \in \mathcal{A}$ ,  $a \prec b$  iff  $a$  is isomorphic to an initial segment of  $b$ . Show that  $\prec$  is a well ordering on  $\mathcal{A}/\cong$ , where  $\cong$  is the equivalence relation given in Ex.2.

SOLUTION:

It is obvious that  $a \prec b \Leftrightarrow [a] \prec [b]$ , in which  $[a]$  denotes the equivalence class containing  $a$ .

- (a) (irreflexive)  $a \not\prec a$  since any  $a$  is isomorphic to itself, therefore, can't be isomorphic to its own initial segment.
- (b) (transitive) For any  $a, b, c \in \mathcal{A}$ , suppose  $a \prec b$  and  $b \prec c$ . It follows that  $a$  is isomorphic to an initial segment of an initial segment of  $c$ , which is still an initial segment of  $c$ . Thus  $a \prec c$ .
- (c) (trichotomous) For any  $a, b \in \mathcal{A}$ , by theorem 2. 3, we have  $a \prec b$ ,  $a = b$  (actually,  $a \cong b$ ) or  $a \succ b$
- (d) (well-ordering) Suppose NOT, there is a nonempty subclass  $P \subseteq \mathcal{A}$ , such that  $P$  has no least element, i.e. there exists a infinite sequence  $\{U^i\} \subseteq P$

$$U^0 \succ U^1 \succ U^2 \succ \dots$$

By definition, for every  $i \in \mathbb{N}^*$ , there exist a  $x_i \in U^0$  such that  $U^i \cong U^0_{x_i}$ . It is obvious that  $\{x_i\}$  is an infinite decreasing sequence of  $U^0$  (Contradict to the fact that  $U^0$  is well-ordering).

4. Prove Proposition 6.

- (a) If  $(W, <)$  is a well ordering and  $U \subseteq W$ , then  $(U, < \cap (U \times U))$  is a well ordering.
- (b) If  $(W_1, <_1)$  and  $(W_2, <_2)$  are two well orderings,  $W_1 \cap W_2 = \emptyset$ , then  $W_1 \oplus W_2 = (W_1 \cup W_2, <)$  is a well ordering, where

$$< = <_1 \cup <_2 \cup \{(a, b) \mid a \in W_1 \wedge b \in W_2\}$$

- (c) If  $(W_1, <_1)$  and  $(W_2, <_2)$  are two well orderings, then  $W_1 \otimes W_2 = (W_1 \times W_2, <)$  is a well ordering, where

$$(a_1, b_1) < (a_2, b_2) \leftrightarrow b_1 <_2 b_2 \vee (b_1 = b_2 \wedge a_1 <_1 a_2)$$

SOLUTION:

- (a) Let  $<_1$  denote  $< \cap (U \times U)$ . Notice the fact that for any  $p, q \in U$ ,  $p <_1 q \Leftrightarrow p < q$ .
- (irreflexive) For any  $p \in U$ ,  $p \not<_1 p$  because  $p \not< p$ .
  - (transitive) For any  $p, q, r \in U$ ,  $p <_1 q \wedge q <_1 r \Rightarrow p < q \wedge q < r \Rightarrow p < r \Rightarrow p <_1 r$
  - (trichotomous) For any  $p, q \in U$ ,  $p < q \vee p = q \vee q < p \Rightarrow p <_1 q \vee p = q \vee q <_1 p$
  - (well-ordered) For any nonempty subset  $P \subseteq U$ , it is also a nonempty subset of  $W$ . Since  $W$  is a well-ordering,  $P$  has a least element  $p$ . For all element  $x \in P$ ,  $p \leq x$ , which implies that  $p \leq_1 x$ . So  $p$  is the least element in  $(P, <_1)$ .
- (b)
- (irreflexive) For any  $a \in W_1 \cup W_2$ ,  $a \in W_1$  or  $a \in W_2$ . In either case,  $a \not< a$ .
  - (transitive) Suppose  $a, b, c \in W_1 \cup W_2$  are such that  $a < b < c$ . We show that  $a < c$ . Two cases:  
CASE 1:  $a, c \in W_i$ ,  $i = 1$  or  $2$ . Then  $a < c$  follows from  $a <_i b <_i c$  and the transitivity of  $<_i$ .  
CASE 2:  $a \in W_1, c \in W_2$ . Then  $a < c$  follows from the definition of  $<$ .
  - (trichotomous) For any  $a, b \in W_1 \cup W_2$ , if  $a \in W_1, b \in W_2$  or  $a \in W_2, b \in W_1$ , then  $a, b$  are comparable according to the definition of  $<$ ; otherwise if  $a, b \in W_i$  ( $i = 1$  or  $2$ ), then  $a, b$  are comparable by the trichotomy of  $<_i$ .
  - (well-ordered) Let  $P$  be a nonempty subset of  $W_1 \cup W_2$ . If  $P \cap W_1 \neq \emptyset$ , then  $<_1$ -min of  $P \cap W_1$  gives the  $<$ -min element of  $P$ . Otherwise  $P \subset W_2$ , hence the  $<$ -min element of  $P$  is in fact its  $<_2$ -min.
- (c) It is trivial to prove that  $W_1 \otimes W_2$  is a linear order. So it suffices to show that for any nonempty subset  $P \subseteq W_1 \times W_2$ ,  $P$  has a least element. Let

$$U = \{a \in W_1 \mid (a, b) \in P\}$$

$U$  is a nonempty subset of  $W_1$ , therefore has a least element  $a_0$ . Let

$$V = \{b \in W_2 \mid (a_0, b) \in P\}$$

$V$  is a nonempty subset of  $W_2$ , therefore has a least element  $b_0$ . Then  $(a_0, b_0)$  is a least element of  $P$ .