

# Solutions for Assignment # 1

October 15, 2024

1. Using only  $\hat{\in}$  and  $\hat{=}$  to express the following formulas:

- (a)  $z \hat{=} ((x, y), (u, v))$
- (b)  $\forall x[\neg(x \hat{=} \emptyset) \rightarrow (\exists y \hat{\in} x)(x \cap y \hat{=} \emptyset)]$
- (c)  $\forall u[\forall x \exists y(x, y) \hat{\in} u \rightarrow \exists f \forall x(x, f(x)) \hat{\in} u]$

SOLUTION:

(a) Note that  $(x, y) = \{\{x\}, \{x, y\}\}$ . The formula  $z \hat{=} (x, y)$  can be expressed as:

$$\varphi(z, x, y) \equiv \forall u(u \hat{\in} z \leftrightarrow \forall v(v \hat{\in} u \leftrightarrow v \hat{=} x) \vee \forall v(v \hat{\in} u \leftrightarrow v \hat{=} x \vee v \hat{=} y))$$

So  $z \hat{=} ((x, y), (u, v))$  can be expressed as:

$$\exists z_1 \exists z_2 (\varphi(z_1, x, y) \wedge \varphi(z_2, u, v) \wedge \varphi(z, z_1, z_2))$$

(b) Note that  $x \cap y \hat{=} \emptyset$  iff  $\neg \exists z(z \hat{\in} y \wedge z \hat{\in} x)$ ,  $\exists y \hat{\in} x$  means  $\exists y(y \hat{\in} x)$ . This formula can be expressed as:

$$\forall x (\exists v (v \in x) \rightarrow \exists y (y \hat{\in} x \wedge \neg \exists u (u \in x \wedge u \in y)))$$

(c) Note that function  $f$  is a binary relation and  $(\forall(x, y), (x, z) \hat{\in} f)(y \hat{=} z)$ . “ $f$  is a binary relation” can be expressed as:

$$\varphi_1(f) \equiv \forall z(z \hat{\in} f \leftrightarrow \exists x \exists y \varphi(z, x, y))$$

$\varphi(z, x, y)$  means  $z \hat{=} (x, y)$  defined in (a).

“ $f$  is a function” can be expressed as:

$$\varphi_2(f) \equiv \varphi_1(f) \wedge \forall x \forall y \forall z \exists u \exists v (\varphi(x, y, u) \wedge \varphi(x, z, v) \wedge (u \hat{\in} f \wedge v \hat{\in} f \rightarrow y \hat{=} z))$$

So the formula  $\forall u[\forall x \exists y(x, y) \hat{\in} u \rightarrow \exists f \forall x(x, f(x)) \hat{\in} u]$  can be expressed as:

$$\forall u (\forall x \exists y \exists z (\varphi(z, x, y) \wedge z \hat{\in} u) \rightarrow \exists f (\varphi_2(f) \wedge \forall x \exists y \exists z (\varphi(z, x, y) \wedge z \hat{\in} f \wedge z \hat{\in} u)))$$

2. Suppose that  $R, S$  are two relations. Show that  $R_{-1}$  and  $S \circ R$  exist.

SOLUTION: Since  $\text{dom}(R)$  and  $\text{ran}(R)$  are two sets, so are  $\text{ran}(R) \times \text{dom}(R)$ . By Comprehension Schema,

$$R_{-1} = \{(u, v) \in \text{ran}(R) \times \text{dom}(R) \mid (v, u) \in R\}$$

exists.  $R_{-1} \subset \mathcal{P}(\mathcal{P}(\bigcup \bigcup R))$  with Comprehension also shows  $R_{-1}$  is a set.

Since  $\text{dom}(R)$  and  $\text{ran}(S)$  are two sets, so does  $\text{dom}(R) \times \text{ran}(S)$ . By Comprehension Schema,

$$S \circ R = \{(u, v) \in \text{dom}(R) \times \text{ran}(S) \mid \exists w((u, w) \in R \wedge (w, v) \in S)\}$$

exists.  $S \circ R \subset \mathcal{P}(\mathcal{P}(\bigcup \bigcup (R \cup S)))$  with Comprehension also shows  $S \circ R$  is a set.

3. There is no set  $X$  such that  $\mathcal{P}(X) \subseteq X$ .

SOLUTION: Suppose NOT. There exists a set  $X$  s.t.  $\mathcal{P}(X) \subseteq X$ .

Method I We have  $X \in X \in X \cdots$ , since  $X$  is a subset of itself  $X \in \mathcal{P}(X) \subseteq X$ . But it contradicts Regularity/Well-foundedness axioms.

Method II Let  $W = \{x \in X \mid x \notin x\}$ .  $W \subset X$ , thus  $W \in \mathcal{P}(X) \subseteq X$ . But  $W \in W \leftrightarrow W \notin W$ . Contradiction!

Let  $N = \bigcap \{X \mid X \text{ is inductive}\}$ .  $N$  is the smallest inductive set. Let us use the following notation:

$$0 = \emptyset, \quad 1 = \{0\}, \quad 2 = \{0, 1\}, \quad 3 = \{0, 1, 2\}$$

If  $n \in N$ , let  $n + 1 = n \cup \{n\}$ . And for  $n, m \in N$ ,

$$n < m \leftrightarrow n \in m$$

A set  $T$  is *transitive* if  $x \in T$  implies  $x \subseteq T$ .

4. If  $X$  is inductive, then the set

$$\{x \in X \mid x \subseteq X\}$$

is inductive. Hence  $N$  is transitive, and for each  $n$ ,  $n = \{m \in N \mid m < n\}$ .

SOLUTION: Let  $E = \{x \in X \mid x \subseteq X\}$ .

(a) It is clear that  $\emptyset \in E$  ( $X$  is inductive) and  $\emptyset \subseteq X$  (trivial). So  $\emptyset$  belongs to  $E$ .

(b) For all  $x \in E$ ,  $x \cup \{x\} \in X$  because  $x$  is an element of  $X$  and  $X$  is inductive. Since both  $x$  and  $\{x\}$  are subsets of  $X$ , we have  $x \cup \{x\} \subseteq X$ . Hence  $x \cup \{x\} \in E$ .

According to (a) and (b),  $\{x \in X \mid x \subseteq X\}$  is inductive.

Let  $E_0 = \{x \in N \mid x \subseteq N\} \subseteq N$ . But  $E_0$  is inductive, so  $N$  is a subset of  $E_0$ . That means  $\{x \in N \mid x \subseteq N\} = N$ , thus  $N$  is transitive.

It is obvious that  $\{m \in N \mid m < n\} = \{m \in N \mid m \in n\} \subseteq n$ . On the other hand, since  $n \in N$  and  $N$  is transitive, we have  $n \subseteq N$ . Then  $m \in n \rightarrow m \in N$  which equals  $n \subseteq \{m \in N \mid m < n\}$ . Hence  $n = \{m \in N \mid m < n\}$ .

5. If  $X$  is inductive, then the set

$$\{x \in X \mid x \text{ is transitive}\}$$

is inductive. Hence every  $n \in N$  is transitive.

SOLUTION: Let  $E = \{x \in X \mid x \text{ is transitive}\}$ .

(a)  $\emptyset \in E$  since  $\emptyset$  is transitive.

(b) For all  $x \in X$ ,  $x$  is transitive. Our goal is to show that  $x \cup \{x\}$  is transitive, too. For all  $y \in x \cup \{x\}$ , no matter whether  $y \in x$  or  $y \in \{x\}$ , we have  $y \subseteq x \cup \{x\}$ . Thus  $x \cup \{x\}$  is transitive.

According to (a) and (b),  $\{x \in X \mid x \text{ is transitive}\}$  is inductive.

Since  $N$  is the smallest inductive set,  $N \subseteq \{x \in N \mid x \text{ is transitive}\}$ . Thus every element  $n$  of  $N$  is transitive.

6. If  $X$  is inductive, then the set

$$\{x \in X \mid x \text{ is transitive and } x \notin x\}$$

is inductive. Hence  $n \notin n$  and  $n \neq n + 1$  for each  $n \in N$ .

SOLUTION: According to the conclusion above, it is sufficient to prove that  $x \cup \{x\} \notin x \cup \{x\}$  if  $x$  is transitive and  $x \notin x$ . Suppose NOT, we have  $x \cup \{x\} \in x$  or  $x \cup \{x\} \in \{x\}$ . Both of them lead to  $x \cup \{x\} \subseteq x$  ( $x$  is transitive). But

$$x \cup \{x\} \subseteq x \rightarrow \{x\} \subseteq x \rightarrow x \in x$$

It is a contradiction.

Since  $N$  is the smallest inductive set,  $N \subseteq \{x \in X \mid x \text{ is transitive and } x \notin x\}$ . Thus  $n \notin n$ .  $n + 1 = n \cup \{n\}$  by definition. Since there is  $n$  s.t.  $n \notin n$  but  $n \in n + 1$ , we have  $n \neq n + 1$ .

7. If  $X$  is inductive, then the set  $\{x \in X \mid x \text{ is transitive and every nonempty } z \subseteq x \text{ has an } \in\text{-minimal element}\}$  is inductive. ( $t$  is  $\in$ -minimal in  $z$  if there is no  $s \in z$  such that  $s \in t$ .)

SOLUTION: It is sufficient to show that every nonempty  $z \subseteq x \cup \{x\}$  has an  $\in$ -minimal element if  $x$  belongs to the above set. For any nonempty  $z \subseteq x \cup \{x\}$ , Suppose  $z = \{x\}$ ,  $x$  is the  $\in$ -minimal element in  $z$  (Otherwise  $x \in x$ , but then  $\{x\}$ , as a nonempty subset of  $x$ , has no  $\in$ -minimal element). Otherwise,  $z \subseteq x$ , there would exist a  $y \in z \setminus \{x\} \subseteq x$  is a  $\in$ -minimal element in  $z \setminus \{x\}$ . Meanwhile,  $x \notin y$  (Otherwise  $x \in y \in x \rightarrow x \in x$ , since  $x$  is transitive). That implies  $y$  is an  $\in$ -minimal element in  $z$ .

8. Every nonempty  $X \subseteq N$  has an  $\in$ -minimal element.

SOLUTION: Since  $N$  is the smallest inductive set,  $N \subseteq \{x \in N \mid x \text{ is transitive and every nonempty } z \subseteq x \text{ has an } \in\text{-minimal element}\}$ . For all  $X \subseteq N$ , pick  $n \in X$ . If  $X \cap n = \emptyset$ ,  $(\forall m < n)(m \notin X)$ . So  $n$  is an  $\in$ -minimal element. If  $X \cap n \neq \emptyset$ ,  $X \cap n \subseteq n$  has an  $\in$ -minimal element. It is an  $\in$ -minimal element in  $X$ .

9. If  $X$  is inductive then so is  $\{x \in X \mid x = \emptyset \vee x = y \cup \{y\} \text{ for some } y\}$ . Hence each  $n \neq \emptyset$  is  $m + 1$  for some  $m$ .

SOLUTION: Let  $E = \{x \in X \mid x = \emptyset \vee x = y \cup \{y\} \text{ for some } y\}$ . Suppose an nonempty set  $x \in E$ . Then  $x \cup \{x\} = y \cup \{y\}$  for  $y = x$ . Thus  $x \cup \{x\} \in E$ . So the above set is inductive.

Since  $N \subseteq \{x \in N \mid x = \emptyset \vee x = y \cup \{y\} \text{ for some } y\}$ , each  $n \neq \emptyset$  is  $m + 1$  for some  $m$ .

10. (Induction) Let  $A$  be a subset of  $N$  such that  $0 \in A$ , and if  $n \in A$  then  $n + 1 \in A$ . Then  $A = N$ .

SOLUTION: By definition,  $A$  is inductive. So  $N$  is a subset of  $A$ . But  $A \subseteq N$  naturally. Hence  $A = N$ .