

# Elementary Set Theory

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# RAMSEY THEORY

# Finite Ramsey Theorem

## Theorem 1 (Finite Ramsey Theorem)

*For any  $n, k, m \in \mathbb{N}$ , there is an  $l$  such that  $l \rightarrow (m)_k^n$ , i.e. for any  $k$ -coloring (function)  $f : [l]^n \rightarrow k$ , there is an  $H \subseteq l$  of size  $m$  such that  $|f''[H]^n| = 1$ .<sup>1</sup>*

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<sup>1</sup>Such  $H$  is called  $f$ -homogeneous

## REMARK

- ▶ Such  $H$  is called a **homogeneous** set (for  $f$ ).
- ▶ The notation  $l \rightarrow (m)_k^n$  is called Erdős arrow.  
 $k$  is omitted if  $k = 2$ .
- ▶ A variation for  $k$  colors:  $l \rightarrow (m_1, \dots, m_k)^n$ .
- ▶ For  $n = 2$ , the least such  $l$  is denoted as  $R(m_1, \dots, m_k)$ , called the **Ramsey** number for  $(m_1, \dots, m_k)$ .
- ▶  $R(3, 3) = 6$ ,  $R(4, 4) = 18$ ,  $R(4, 5) = 25$ ,  $R(3, 3, 3) = 17$
- ▶  $k = 2$ ,  $R(r, s) \leq R(r - 1, s) + R(r, s - 1)$
- ▶  $k > 2$ ,  $R(n_1, \dots, n_k) \leq R(n_1, \dots, n_{k-2}, R(n_{k-1}, n_k))$ .
- ▶  $[1 + o(1)] \frac{\sqrt{2}s}{e} 2^{\frac{s}{2}} \leq R(s, s) \leq s^{-(c \log s)/(\log \log s)} 4^s$

Values / known bounding ranges for Ramsey numbers  $R(r, s)$  (sequence [A212954](#) in the [OEIS](#))

$r \backslash s$	1	2	3	4	5	6	7	8	9	10
1	1	1	1	1	1	1	1	1	1	1
2		2	3	4	5	6	7	8	9	10
3			6	9	14	18	23	28	36	40–42
4				18	25 <sup>[5]</sup>	36–41	49–61	59 <sup>[10]</sup> –84	73–115	92–149
5					43–48	58–87	80–143	101–216	133–316	149 <sup>[10]</sup> –442
6						102–165	115 <sup>[10]</sup> –298	134 <sup>[10]</sup> –495	183–780	204–1171
7							205–540	217–1031	252–1713	292–2826
8								282–1870	329–3583	343–6090
9									565–6588	581–12677
10										798–23556

From [https://en.wikipedia.org/wiki/Ramsey%27s\\_theorem#Ramsey\\_numbers](https://en.wikipedia.org/wiki/Ramsey%27s_theorem#Ramsey_numbers)

# Infinite Ramsey Theorem

## Theorem 2 (Infinite Ramsey Theorem)

$\omega \rightarrow (\omega)_k^n$ , for any  $n, k \in \omega$ .

### PROOF.

Suffices to prove for  $k = 2$ . Prove by induction on  $n$ . Fix a coloring  $c : [\omega]^{n+1} \rightarrow \{0, 1\}$ . Define  $\langle A_n, a_n : n < \omega \rangle$  as follows:

- ▶  $A_0 = \omega$  and  $a_n = \min A_n$ ,
- ▶  $A_{n+1} = A_{n, i_n}$ , where for  $i < 2$ ,

$$\{a_n\} \times [A_{n,i}]^n = c^{-1}(\{i\}) \cap \{a_n\} \times [A_n]^n$$

and  $i_n$  is least such that  $|A_{n, i_n}| = \omega$ .

$c^* : a_n \mapsto i_n$ , for  $n < \omega$ , is a 2-coloring of  $B = \{a_n \mid n < \omega\}$ . By the case  $n = 1$ , there is an infinite  $c^*$ -homogeneous  $H \subset B$ . This  $H$  is also  $c$ -homogeneous. □

# IRT implies FRT

## Theorem 3

**Infinite Ramsey Theorem**  $\implies$  **Finite Ramsey Theorem**.

PROOF.

Use Compactness, prove by contradiction. Take  $k = n = 2$

- ▶ Suppose  $m$  is such that  $l \rightarrow (m)_2^2$  fails at  $(l, m)$  for any  $l < \omega$ .
- ▶ In the language of graph, for each  $l$ , there is a graph (model)  $G$  such that  $\varphi_l$  holds in  $G$ :  
$$\varphi_l \equiv \neg(\exists x_0 \cdots x_{m-1}) [\bigwedge_{i < j < m} \neg R(x_i, x_j) \vee \bigwedge_{i < j < l} R(x_i, x_j)]$$
- ▶ The set  $\{\varphi_l \mid l < \omega\}$ , by Compactness, is realizable by some infinite  $G^*$ .

This  $G^*$  witnesses that  $\omega \not\rightarrow (m)_2^2$ , contradicting to  $\omega \rightarrow (\omega)_2^2$ .  $\square$

# A stronger form of FRT

## Theorem 4 (Paris-Harrington, 1977)

*For any  $n, k, m \in \mathbb{N}$ , there is an  $l$  such that for any  $k$ -coloring (function)  $f : [l]^n \rightarrow k$ , there is an  $H \subseteq l$  such that  $|f''[H]^n| = 1$  and  $|H| \geq \max\{m, \min H\}$ .*

## REMARK

Paris-Harrington Theorem (PH) is a statement that can be expressed in the 1<sup>st</sup>-order language of arithmetic. It is

- ▶ provable in the 2<sup>nd</sup>-order arithmetic, but
- ▶ unprovable in the 1<sup>st</sup>-order (Peano) arithmetic.

IRT  $\implies$  PH  $\implies$  <sup>2</sup> FRT.

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<sup>2</sup>by an argument similar to that of IRT.



# More infinite Ramsey theorems

## Theorem 5

1.  $\beth_n^+ \rightarrow (\omega_1)_{\omega_0}^{n+1}$ . (Erdős-Rado)
2.  $2^\kappa \not\rightarrow (\kappa^+)^2$ . (Sierpiński)
3.  $2^\kappa \not\rightarrow (3)_\kappa^2$ .
4.  $\kappa \rightarrow (\kappa, \omega_0)^2$ . (Erdős-Dushnik-Miller)

## REMARKS

1. This implies that  $(2^\omega)^+ \rightarrow (\omega_1)^2$ .
2. Consider  $({}^\kappa 2, <_{\text{lex}})$ .  $\{f, g\} \mapsto 0$  if  $f(\delta) < g(\delta)$ , where  $\delta =$  least  $\xi$  such that  $f(\xi) \neq g(\xi)$ . This implies that there is no  $\kappa^+$ -ascending or  $\kappa^+$ -descending sequence.
3. Color  $[{}^\kappa 2]^2$  by  $\{A, B\} \mapsto \lambda_{A,B}$ , the ordertype of  $\delta_{A,B}$ .  $\lambda_{A,B} = \lambda_{B,C} = \lambda_{A,C}$  is impossible!
4. An weaker variant.

## Theorem 6

If  $\kappa > \omega$  and  $\kappa \rightarrow (\kappa)^2$ , then  $\kappa$  is strongly inaccessible.

PROOF.

$\kappa$  is regular. Suppose not.

- ▶ Let  $\kappa = \bigcup_{i < \lambda} X_i$ , where  $\lambda < \kappa$  and each  $|X_i| < \kappa$ .
- ▶ Define  $f : [\kappa]^2 \rightarrow \{0, 1\}$  as follows:

$$f(\alpha, \beta) = \begin{cases} 1, & \alpha, \beta \text{ are in the same } X_i; \\ 0, & \text{otherwise.} \end{cases}$$

There is no  $f$ -homogeneous set.

$\kappa$  is a strong limit: if  $\lambda < \kappa$  is such that  $\kappa \leq 2^\lambda$ , then  $\kappa \rightarrow (\kappa)^2$  implies  $2^\lambda \rightarrow (\lambda^+)^2$ , contradicting to Theorem 5-2. □

# Erdős arrows and Large cardinals

## Definition 7

Let  $\kappa$  be an uncountable cardinal.

- ▶  $\kappa$  is **weakly compact** if  $\kappa \rightarrow (\kappa)^2$ .
- ▶  $\kappa$  is  **$\alpha$ -Erdős** if it is the smallest that  $\kappa \rightarrow (\alpha)^{<\omega}$ .
- ▶  $\kappa$  is a **Ramsey** cardinal if  $\kappa \rightarrow (\kappa)^{<\omega}$ .

$\omega$  is weakly compact, but not Ramsey.

# Infinite exponents

## Theorem 8

1.  $\omega \rightarrow (\omega)^{<\omega}$ .
2. Assume AC, then  $\kappa \rightarrow (\omega)^\omega$ , for any  $\kappa \geq \omega$ .
3. Assume AD, then  $\omega_1 \rightarrow (\omega_1)^{\omega_1}$ . (D. Martin)

# A Ramsey-type theorem with structures

## Theorem 9 (Hindman)

*Given any finite coloring  $c : \mathbb{N} \rightarrow k$ , some  $k < \omega$ , there exists an infinite  $A \subseteq \mathbb{N}$  such that  $c$  is constant on the set*

$$A^* = \{\sum F \mid F \subset A \text{ is finite}\}.$$

## Theorem 10 (Pigeonhole Principle for tree)

*Given any finite coloring  $c : T = 2^{<\omega} \rightarrow k$ , some  $k < \omega$ , there exists a strong subtree  $S \subset T$  such that  $c$  is constant on  $S$ .*